



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application Of: )  
)  
C. Shotton *et al.* ) Group Art Unit: 2776  
)  
Application Number: 09/615,830 ) Examiner: N/A  
)  
Filed: July 13, 2000 )  
)  
For: Locally Executing Software Agent )  
for Retrieving Remote Content and )  
Methods for Creation and Use of the )  
Agent )

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**Information Disclosure Statement**

Assistant Commissioner for Patents  
Washington, D.C. 20231

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Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently presently believed earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be

prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will consider information that had been cited by or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. § 120. 1138 OG 37, 38 (May 19, 1992).

This Information Disclosure Statement is believed to be submitted prior to issuance of a first Office Action on the merits. Therefore, no statement under 37 C.F.R. § 1.97(e) or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and to indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 50-1640.

Respectfully submitted,



Anthony W. Shaw  
Reg. No. 30, 104

Dated: June 18, 2001

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FORM PTO-1449 (Modified)  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

APPLICATION  
NO.

032393.0003

09/615,830

## LIST OF REFERENCES CITED BY APPLICANT



(Use several sheets if necessary)

APPLICANT

C. Shotten *et al.*

FILING DATE

July 13, 2000

GROUP

27762851

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
1	5 5 8 7 9 0 2	12/1996	Kugimiya, S.			05/26/93
2	5 7 1 0 9 1 8	01/1998	Lagarde <i>et al.</i>			06/07/95
3	5 7 2 1 9 0 8	02/1998	Lagarde <i>et al.</i>			06/07/95
4	5 9 7 4 4 4 1	10/1999	Rogers <i>et al.</i>			12/06/96
5	5 9 8 7 4 0 3	11/1999	Sugimura, R.			05/29/97
6	5 9 9 6 0 0 0	11/1999	Shuster, B.			07/23/97
7	6 0 2 3 6 9 7	02/2000	Bates <i>et al.</i>			02/24/98
8	6 0 7 0 1 8 5	05/2000	Anupam <i>et al.</i>			05/02/97
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11	6 0 9 2 0 9 9	07/2000	Irie <i>et al.</i>			10/20/98
12	6 1 0 8 6 8 6	08/2000	Williams, Jr., H.R.			03/02/98
13	6 1 9 9 0 9 7	03/2001	Hachiya <i>et al.</i>			04/29/98
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## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)


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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

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